

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
FEB 8 2002
TOLSON MAIL ROOM

In re Patent Application of:)
Wu, ET AL.)
Serial No. 09/981,122)
Confirmation No. 5450)
Filing Date: October 17, 2001)
For: Laser Collimator for a Free Space)
Optical Link)

Atty Docket No: 78035
20-6 US

REQUEST FOR CORRECTION TO THE FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Sir:

The filing receipt for the subject patent application has been received and reviewed for accuracy. A discrepancy has been discovered. The filing receipt first inventor's name is listed incorrectly as Wu Li. The correct listing is Li Wu as originally filed in the Declaration for Patent Application.

In view of the error noted, it is respectfully requested that a corrected filing receipt be issued in this application. Since this error is believed to be an error of the Patent Office, such change should be made at no expense to the applicant(s). However, if any fee is believed to be necessary, authorization is given to charge Deposit Account No. 01-0484.



Re Patent Application of
Wu ET AL.
Serial No. 09/981,122
Filed October 17, 2001

Respectfully submitted,

Charles E. Wands
Reg. No. 25,649

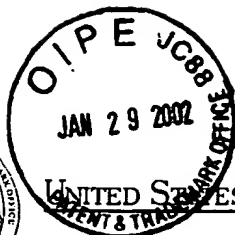


27975

PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING

I HEREBY CERTIFY that this REQUEST FOR CORRECTION TO
THE FILING RECEIPT for U.S. Serial No. 09/981,122 is being
deposited with the United States Postal Service as First Class
Mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR
PATENTS, WASHINGTON, D.C. 20231, this 23rd day of January,
2002.



UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

FEB -8 2002

COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/981,122	10/17/2001	2874	740	78035/201 ROOM 6US	6	13	2

27975

ALLEN, DYER, DOPPELT, MILBRATH & GILCHRIST P.A.
 1401 CITRUS CENTER 255 SOUTH ORANGE AVENUE
 P.O. BOX 3791
 ORLANDO, FL 32802-3791

CONFIRMATION NO. 5450

CORRECTED FILING RECEIPT



OC000000007313112

RECEIVED

JAN 20 2002

A. D. D. M. & G

Date Mailed: 01/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

L. Wu ~~Wu Li~~ Fuxing Investment District, CHINA;
 Jiwu Ling, Fuxing Investment District, CHINA;
 Chaoping Chen, Fuxing Investment District, CHINA;
 Jinhua Huang, Fuxing Investment District, CHINA;
 Shancong Zhang, Fuxing Investment District, CHINA;
 Derwyn C. Johnson, Nepean, CANADA;
 Neil Teitelbaum, Ottawa, CANADA;

Assignment For Published Patent Application

JDS Uniphase Corporation, San Jose, CA;

Domestic Priority data as claimed by applicant**Foreign Applications**

CHINA 00240685.3 10/18/2000

If Required, Foreign Filing License Granted 11/15/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No



Title

Transmitter for a free space optical link

RECEIVED

FEB -8 2002

Preliminary Class

385

TC 2800 MAIL ROOM

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).